

OLC

# RGF CAPITAL MARKETS LIMITED

Regd. Office: 14, N.S. ROAD, 2<sup>ND</sup> FLOOR, KOLKATA - 700001

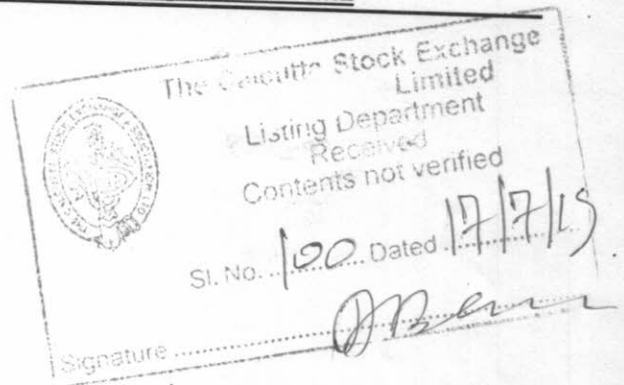
CIN: L67120WB1983PLC036113

Phone: 033-40055190

Email: [smn1098@rediffmail.com](mailto:smn1098@rediffmail.com), Website: [www.rgfcapitalmarkets.in](http://www.rgfcapitalmarkets.in)

Dated: 16.07.2019

To,  
The Company Secretary & Listing Department,  
The Calcutta Stock Exchange Limited,  
7, Lyons Range,  
Kolkata - 700001



Dear Sir,

**Sub: Share Holding Pattern Filed under: Reg. 31(1)(b) : Quarter Ended 30.06.2019**

Please find the annexed Shareholding Pattern in the prescribed format for the Quarter Ended 30<sup>th</sup> June, 2019 with this letter.

Thanking You,

Yours Faithfully,

For RGF Capital Markets Limited  
Per RGF CAPITAL MARKETS LTD.

Sandip Kumar Bej  
(Director)  
DIN: 02738193

Director

| General information about company  |                             |
|--|-----------------------------|
| Scrip code   | 539669                      |
| NSE Symbol   |                             |
| MSEI Symbol  |                             |
| ISIN   | INE684D01025                |
| Name of the company  | RGF CAPITAL MARKETS LIMITED |
| Whether company is SME   | No                          |
| Class of Security  | Equity Shares               |
| Type of report   | Quarterly                   |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)                | 30-06-2019                  |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date |                             |
| Shareholding pattern filed under   | Regulation 31 (1) (b)       |

Page 1 of 16

| Declaration |  |        |                             |                    |                         |
|-------------|--|--------|-----------------------------|--------------------|-------------------------|
| Sr. No.     | Particular   | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter-Non Public |
| 1           | Whether the Listed Entity has issued any partly paid up shares?                        | No     | No                          | No                 | No                      |
| 2           | Whether the Listed Entity has issued any Convertible Securities ?                      | No     | No                          | No                 | No                      |
| 3           | Whether the Listed Entity has issued any Warrants ?                                    | No     | No                          | No                 | No                      |
| 4           | Whether the Listed Entity has any shares against which depository receipts are issued? | No     | No                          | No                 | No                      |
| 5           | Whether the Listed Entity has any shares in locked-in?                                 | No     | No                          | No                 | No                      |
| 6           | Whether any shares held by promoters are pledge or otherwise encumbered?               | No     | No                          |                    |                         |
| 7           | Whether company has equity shares with differential voting rights?                     | No     | No                          | No                 | No                      |
| 8           | Whether the listed entity has any significant beneficial owner?                        | No     |                             |                    |                         |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II)   | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) |            |           |                         |
|--------------|--------------------------------|----------------------------|--|--|---|--|---|---|------------|-----------|-------------------------|
|              |                                |                            |  |  |   |  |   | No of Voting (XIV) Rights                                     |            |           | Total as a % of (A+B+C) |
|              |                                |                            |  |  |   |  |   | Class eg: X   | Class eg:y | Total     |                         |
| (A)          | Promoter & Promoter Group      | 7                          | 37469556                                     |  |   | 37469556                                     | 24.98   | 37469556  |            | 37469556  | 24.98                   |
| (B)          | Public                         | 573                        | 112554444                                    |  |   | 112554444                                    | 75.02   | 112554444   |            | 112554444 | 75.02                   |
| (C)          | Non Promoter-Non Public        |                            |  |  |   |  |   |   |            |           |                         |
| (C1)         | Shares underlying DRs          |                            |  |  |   |  |   |   |            |           |                         |
| (C2)         | Shares held by Employee Trusts |                            |  |  |   |  |   |   |            |           |                         |
|              | Total                          | 580                        | 150024000                                    |  |   | 150024000                                    | 100   | 150024000   |            | 150024000 | 100                     |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II)   | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) |                                 | Number of Shares pledged or otherwise encumbered (XIII) |                                 | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
|              |                                |   |  |  |   | No. (a)                          | As a % of total Shares held (b) | No. (a)   | As a % of total Shares held (b) |   |
| (A)          | Promoter & Promoter Group      |   |  |  | 24.98   |                                  |                                 |   |                                 | 37469556  |
| (B)          | Public                         |   |  |  | 75.02   |                                  |                                 |   |                                 | 11255444  |
| (C)          | Non Promoter-Non Public        |   |  |  |   |                                  |                                 |   |                                 |   |
| (C1)         | Shares underlying DRs          |   |  |  |   |                                  |                                 |   |                                 |   |
| (C2)         | Shares held by Employee Trusts |   |  |  |   |                                  |                                 |   |                                 |   |
|              | Total                          |   |  |  | 100   |                                  |                                 |   |                                 | 150024000   |



**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

| Sr.  | Category & Name of the Shareholders (I)   | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) |            |           |                                     |
|--|---|----------------------------|--|--|---|--|---|---|------------|-----------|-------------------------------------|
|  |   |                            |  |  |   |  |   | No of Voting (XIV) Rights                                     |            |           | Total as a % of Total Voting rights |
|  |   |                            |  |  |   |  |   | Class eg: X   | Class eg:y | Total     |                                     |
| A  | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group              |                            |  |  |   |  |   |   |            |           |                                     |
| (1)  | Indian  |                            |  |  |   |  |   |   |            |           |                                     |
| (a)  | Individuals/Hindu undivided Family  | 1                          | 8998655                                      |  |   | 8998655                                      | 6   | 8998655   |            | 8998655   | 6                                   |
| (d)  | Any Other (specify)   | 6                          | 28470901                                     |  |   | 28470901                                     | 18.98   | 28470901  |            | 28470901  | 18.98                               |
| Sub-Total (A)(1)   |   | 7                          | 37469556                                     |  |   | 37469556                                     | 24.98   | 37469556  |            | 37469556  | 24.98                               |
| (2)  | Foreign   |                            |  |  |   |  |   |   |            |           |                                     |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) |   | 7                          | 37469556                                     |  |   | 37469556                                     | 24.98   | 37469556  |            | 37469556  | 24.98                               |
| B  | Table III - Statement showing shareholding pattern of the Public shareholder                      |                            |  |  |   |  |   |   |            |           |                                     |
| (1)  | Institutions  |                            |  |  |   |  |   |   |            |           |                                     |
| (3)  | Non-institutions  |                            |  |  |   |  |   |   |            |           |                                     |
| (a(i))   | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.         | 520                        | 7970418                                      |  |   | 7970418                                      | 5.31  | 7970418   |            | 7970418   | 5.31                                |
| (a(ii))  | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 29                         | 19735761                                     |  |   | 19735761                                     | 13.16   | 19735761  |            | 19735761  | 13.16                               |
| (b)  | NBFCs registered with RBI   | 1                          | 37482749                                     |  |   | 37482749                                     | 24.98   | 37482749  |            | 37482749  | 24.98                               |
| (e)  | Any Other (specify)   | 23                         | 47365516                                     |  |   | 47365516                                     | 31.57   | 47365516  |            | 47365516  | 31.57                               |
| Sub-Total (B)(3)   |   | 573                        | 112554444                                    |  |   | 112554444                                    | 75.02   | 112554444   |            | 112554444 | 75.02                               |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)                   |   | 573                        | 112554444                                    |  |   | 112554444                                    | 75.02   | 112554444   |            | 112554444 | 75.02                               |
| C  | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder     |                            |  |  |   |  |   |   |            |           |                                     |

|                   |     |           |  |           |     |           |  |           |     |
|-------------------|-----|-----------|--|-----------|-----|-----------|--|-----------|-----|
| Total<br>(A+B+C2) | 580 | 150024000 |  | 150024000 | 100 | 150024000 |  | 150024000 | 100 |
| Total<br>(A+B+C)  | 580 | 150024000 |  | 150024000 | 100 | 150024000 |  | 150024000 | 100 |

|  |  |  |  |  |  |           |     |
|--|--|--|--|--|--|-----------|-----|
|  |  |  |  |  |  | 150024000 | 100 |
|  |  |  |  |  |  | 150024000 | 100 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group |   |  |  |   |                                  |                                 |   |                                 |   |
|--|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| Sr.  | No. Of Shares Underlying Outstanding convertible securities (X)                               | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) |                                 | Number of Shares pledged or otherwise encumbered (XIII) |                                 | Number of equity shares held in dematerialized form (XIV) |
|  |   |  |  |   | No. (a)                          | As a % of total Shares held (b) | No. (a)   | As a % of total Shares held (b) |   |
| A  | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group          |  |  |   |                                  |                                 |   |                                 |   |
| (1)  | Indian  |  |  |   |                                  |                                 |   |                                 |   |
| (a)  |   |  |  | 6   |                                  |                                 |   |                                 | 8998655   |
| (d)  |   |  |  | 18.98   |                                  |                                 |   |                                 | 28470901  |
| Sub-Total (A) (1)  |   |  |  | 24.98   |                                  |                                 |   |                                 | 37469556  |
| (2)  | Foreign   |  |  |   |                                  |                                 |   |                                 |   |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)                 |   |  |  | 24.98   |                                  |                                 |   |                                 | 37469556  |
| B  | Table III - Statement showing shareholding pattern of the Public shareholder                  |  |  |   |                                  |                                 |   |                                 |   |
| (1)  | Institutions  |  |  |   |                                  |                                 |   |                                 |   |
| (3)  | Non-institutions  |  |  |   |                                  |                                 |   |                                 |   |
| (a(i))   |   |  |  | 5.31  |                                  |                                 |   |                                 | 7970418   |
| (a(ii))  |   |  |  | 13.16   |                                  |                                 |   |                                 | 19735761  |
| (b)  |   |  |  | 24.98   |                                  |                                 |   |                                 | 37482749  |
| (e)  |   |  |  | 31.57   |                                  |                                 |   |                                 | 47365516  |
| Sub-Total (B) (3)  |   |  |  | 75.02   |                                  |                                 |   |                                 | 112554444   |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)                                   |   |  |  | 75.02   |                                  |                                 |   |                                 | 112554444   |
| C  | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder |  |  |   |                                  |                                 |   |                                 |   |
| Total (A+B+C2)   |   |  |  | 100   |                                  |                                 |   |                                 | 150024000   |
| Total (A+B+C)  |   |  |  | 100   |                                  |                                 |   |                                 | 150024000   |



| Individuals/Hindu undivided Family  |                  |                                       |
|---|------------------|---------------------------------------|
| Serial No.  | 1                |                                       |
| Name of the Shareholders (I)  | SAGAR MAL NAHATA | <a href="#">Click here to go back</a> |
| PAN (II)  | ABEPN9248G       | Total                                 |
| No. of fully paid up equity shares held (IV)  | 8998655          | 8998655                               |
| No. Of Partly paid-up equity shares held (V)  |                  |                                       |
| No. Of shares underlying Depository Receipts (VI)   |                  |                                       |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI)  | 8998655          | 8998655                               |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)   | 6                | 6                                     |
| Number of Voting Rights held in each class of securities (IX)   |                  |                                       |
| Class eg:X  | 3998655          | 8998655                               |
| Class eg:y  |                  |                                       |
| Total   | 8998655          | 8998655                               |
| Total as a % of Total Voting rights   | 6                | 6                                     |
| No. Of Shares Underlying Outstanding convertible securities (X)   |                  |                                       |
| No. of Shares Underlying Outstanding Warrants (Xi)  |                  |                                       |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)  |                  |                                       |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2) | 6                | 6                                     |
| Number of Locked in shares (XII)  |                  |                                       |

|   |         |         |
|---|---------|---------|
| No. (a)   |         |         |
| As a % of total Shares held (b)                           |         |         |
| Number of Shares pledged or otherwise encumbered (XIII)   |         |         |
| No. (a)   |         |         |
| As a % of total Shares held (b)                           |         |         |
| Number of equity shares held in dematerialized form (XIV) | 8998655 | 8998655 |
| Reason for not providing PAN                              |         |         |
| Reason for not providing PAN                              |         |         |
| Shareholder type  |         |         |

| Any Other (specify)   |                       |                         |                          |                           |                         |                         |
|---|-----------------------|-------------------------|--------------------------|---------------------------|-------------------------|-------------------------|
| Serial No.  | 1                     | 2                       | 3                        | 4                         | 5                       | 6                       |
| Category  | Bodies Corporate      | Bodies Corporate        | Bodies Corporate         | Bodies Corporate          | Bodies Corporate        | Bodies Corporate        |
| Name of the Shareholders (I)  | SHARP INVESTNEBTS LTD | CARAVAN VYAPAAR PVT LTD | BUDDLEIA TRADERS PVT LTD | SYNOSY AUTOMOTIVE PVT LTD | MISSION VYAPAAR PVT LTD | FORTUNE VYAPAAR PVT LTD |
| PAN (II)  | AAECS2680R            | AACCC6766A              | AACCB7033P               | AAGCS3644B                | AAECM4087E              | AAACF9202A              |
| No. of the Shareholders (I)   | 1                     | 1                       | 1                        | 1                         | 1                       | 1                       |
| No. of fully paid up equity shares held (IV)  | 7073130               | 5211870                 | 4618720                  | 4464500                   | 3712341                 | 3390340                 |
| No. Of Partly paid-up equity shares held (V)  |                       |                         |                          |                           |                         |                         |
| No. Of shares underlying Depository Receipts (VI)   |                       |                         |                          |                           |                         |                         |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI)  | 7073130               | 5211870                 | 4618720                  | 4464500                   | 3712341                 | 3390340                 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 4.71                  | 3.47                    | 3.08                     | 2.98                      | 2.47                    | 2.26                    |
| Number of Voting Rights held in each class of securities (IX)                                       |                       |                         |                          |                           |                         |                         |
| Class eg: X   | 7073130               | 5211870                 | 4618720                  | 4464500                   | 3712341                 | 3390340                 |
| Class eg:y  |                       |                         |                          |                           |                         |                         |
| Total   | 7073130               | 5211870                 | 4618720                  | 4464500                   | 3712341                 | 3390340                 |
| Total as a % of Total Voting rights   | 4.71                  | 3.47                    | 3.08                     | 2.98                      | 2.47                    | 2.26                    |
| No. Of Shares Underlying Outstanding convertible securities (X)                                     |                       |                         |                          |                           |                         |                         |
| No. of Shares Underlying Outstanding Warrants (Xi)  |                       |                         |                          |                           |                         |                         |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)            |                       |                         |                          |                           |                         |                         |
| Shareholding , as a % assuming full conversion of convertible securities (as a                      |                       |                         |                          |                           |                         |                         |

|   |         |         |         |         |         |         |
|---|---------|---------|---------|---------|---------|---------|
| percentage of diluted share capital) (XI)= (VII) + (X) As a % of (A+B+C2) | 4.71    | 3.47    | 3.08    | 2.98    | 2.47    | 2.26    |
| Number of Locked in shares (XII)  |         |         |         |         |         |         |
| No. (a)   |         |         |         |         |         |         |
| As a % of total Shares held (b)   |         |         |         |         |         |         |
| Number of Shares pledged or otherwise encumbered (XIII)                   |         |         |         |         |         |         |
| No. (a)   |         |         |         |         |         |         |
| As a % of total Shares held (b)   |         |         |         |         |         |         |
| Number of equity shares held in dematerialized form (XIV)                 | 7073130 | 5211870 | 4618720 | 4464500 | 3712341 | 3390340 |
| Reason for not providing PAN  |         |         |         |         |         |         |
| Reason for not providing PAN  |         |         |         |         |         |         |
| Shareholder type  |         |         |         |         |         |         |

| <b>Any Other (specify)</b>   |                                       |
|--|---------------------------------------|
| Serial No.   |                                       |
| Category   | <a href="#">Click here to go back</a> |
| Name of the Shareholders (I)   |                                       |
| PAN (II)   | Total                                 |
| No. of the Shareholders (I)  | 6                                     |
| No. of fully paid up equity shares held (IV)   | 28470901                              |
| No. Of Partly paid-up equity shares held (V)   |                                       |
| No. Of shares underlying Depository Receipts (VI)  |                                       |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI)   | 28470901                              |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)        | 18.98                                 |
| Number of Voting Rights held in each class of securities (IX)  |                                       |
| Class eg. X  | 28470901                              |
| Class eg.y   |                                       |
| Total  | 28470901                              |
| Total as a % of Total Voting rights  | 18.98                                 |
| No. Of Shares Underlying Outstanding convertible securities (X)  |                                       |
| No. of Shares Underlying Outstanding Warrants (Xi)   |                                       |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)                   |                                       |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share | 18.98                                 |



|  |          |
|--|----------|
| capital (XI)= (VII)<br>+(X) As a % of<br>(A+B+C2)                  |          |
| Number of Locked in shares (XII)                                   |          |
| No. (a)  |          |
| As a % of total<br>Shares held (b)                                 |          |
| Number of Shares pledged or otherwise encumbered (XIII)            |          |
| No. (a)  |          |
| As a % of total<br>Shares held (b)                                 |          |
| Number of equity<br>shares held in<br>dematerialized<br>form (XIV) | 28470901 |
| Reason for not providing PAN                                       |          |
| Reason for not<br>providing PAN                                    |          |
| Shareholder type   |          |

## NBFCs registered with RBI

| Serial No.  | 1                                 |                                       |
|---|-----------------------------------|---------------------------------------|
| Name of the Shareholders (I)  | MANI MARKETING & HOLDINGS PVT LTD | <a href="#">Click here to go back</a> |
| PAN (II)  | AABCM7440C                        | Total                                 |
| No. of fully paid up equity shares held (IV)  | 37482749                          | 37482749                              |
| No. Of Partly paid-up equity shares held (V)  |                                   |                                       |
| No. Of shares underlying Depository Receipts (VI)   |                                   |                                       |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI)  | 37482749                          | 37482749                              |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)   | 24.98                             | 24.98                                 |
| Number of Voting Rights held in each class of securities (IX)   |                                   |                                       |
| Class eg: X   | 37482749                          | 37482749                              |
| Class eg:y  |                                   |                                       |
| Total   | 37482749                          | 37482749                              |
| Total as a % of Total Voting rights   | 24.98                             | 24.98                                 |
| No. Of Shares Underlying Outstanding convertible securities (X)   |                                   |                                       |
| No. of Shares Underlying Outstanding Warrants (Xi)  |                                   |                                       |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)  |                                   |                                       |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2) | 24.98                             | 24.98                                 |
| Number of Locked in shares (XII)  |                                   |                                       |

|   |          |          |
|---|----------|----------|
| No. (a)   |          |          |
| As a % of total Shares held (b)                           |          |          |
| Number of equity shares held in dematerialized form (XIV) | 37482749 | 37482749 |
| Reason for not providing PAN                              |          |          |
| Reason for not providing PAN                              |          |          |

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect\* Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence

Page 16 of 16