

O/C

RGF CAPITAL MARKETS LIMITED

Regd. Office: 14, N.S. ROAD, 2ND FLOOR, KOLKATA - 700001

CIN: L67120WB1983PLC036113

Phone: 033-40055190

Email: rgfcapital@gmail.com, Website: www.rgfcapitalmarkets.in

Dated: 20.01.2020

To,
The Company Secretary & Listing Department ,
The Calcutta Stock Exchange Limited,
7, Lyons Range,
Kolkata - 700001

Dear Sir,

Sub: Share Holding Pattern Filed under: Reg. 31(1) (b) : Quarter Ended 31.12.2019

Please find the annexed Shareholding Pattern in the prescribed format for the Quarter Ended 31st December, 2019 with this letter.

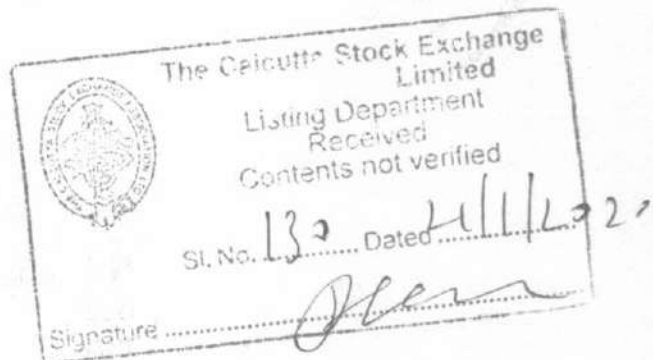
Thanking You,

Yours Faithfully,

For RGF Capital Markets Limited
FOR RGF CAPITAL MARKETS LTD

Sandip Kumar Bej
(Director)
DIN: 02738193


Director



General information about company	
Scrip code	539669
NSE Symbol	
MSEI Symbol	
ISIN	INE684D01025
Name of the company	RGF CAPITAL MARKETS LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	7	37469556			37469556	24.98	37469556		37469556	24.98
(B)	Public	601	112554444			112554444	75.02	112554444		112554444	75.02
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	608	150024000			150024000	100	150024000		150024000	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				24.98					37469556
(B)	Public				75.02					112554444
(C)	Non Promoter- Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					150024000

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	1	8998655			8998655	6	8998655		8998655	6
(d)	Any Other (specify)	6	28470901			28470901	18.98	28470901		28470901	18.98
Sub-Total (A)(1)		7	37469556			37469556	24.98	37469556		37469556	24.98
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		7	37469556			37469556	24.98	37469556		37469556	24.98
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	546	8001206			8001206	5.33	8001206		8001206	5.33
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	29	19704971			19704971	13.13	19704971		19704971	13.13
(b)	NBFCs registered with RBI	1	37482749			37482749	24.98	37482749		37482749	24.98
(e)	Any Other (specify)	25	47365518			47365518	31.57	47365518		47365518	31.57
Sub-Total (B)(3)		601	112554444			112554444	75.02	112554444		112554444	75.02
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		601	112554444			112554444	75.02	112554444		112554444	75.02
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										

Total (A+B+C2)		608	150024000			150024000	100	150024000		150024000	100
Total (A+B+C)		608	150024000			150024000	100	150024000		150024000	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				6					8998655
(d)				18.98					28470901
Sub-Total (A) (1)				24.98					37469556
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				24.98					37469556
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(3)	Non-institutions								
(a(i))				5.33					8001206
(a(ii))				13.13					19704971
(b)				24.98					37482749
(e)				31.57					47365518
Sub-Total (B) (3)				75.02					112554444
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				75.02					112554444
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					150024000
Total (A+B+C)				100					150024000

Individuals/Hindu undivided Family		
Serial No.	1	
Name of the Shareholders (I)	SAGAR MAL NAHATA	Click here to go back
PAN (II)	ABEPN9248G	Total
No. of fully paid up equity shares held (IV)	8998655	8998655
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	8998655	8998655
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	6	6
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	8998655	8998655
Class eg: y		
Total	8998655	8998655
Total as a % of Total Voting rights	6	6
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(Xi)(a) As a % of (A+B+C2)	6	6
Number of Locked in shares (XII)		

No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	8998655	8998655
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type		

Any Other (specify)						
Serial No.	1	2	3	4	5	6
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Name of the Shareholders (I)	SHARP INVESTNEBTS LTD	CARAVAN VYAPAAR PVT LTD	BUDDLEIA TRADERS PVT LTD	SYNOSY AUTOMOTIVE PVT LTD	MISSION VYAPAAR PVT LTD	FORTUNE VYAPAAR PVT LTD
PAN (II)	AAECS2680R	AACCC6766A	AACCB7033P	AAGCS3644B	AAECM4087E	AAACF9202A
No. of the Shareholders (I)	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	7073130	5211870	4618720	4464500	3712341	3390340
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	7073130	5211870	4618720	4464500	3712341	3390340
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.71	3.47	3.08	2.98	2.47	2.26
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	7073130	5211870	4618720	4464500	3712341	3390340
Class eg:y						
Total	7073130	5211870	4618720	4464500	3712341	3390340
Total as a % of Total Voting rights	4.71	3.47	3.08	2.98	2.47	2.26
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a						

percentage of diluted share capital) (XI) = (VII) + (X) As a % of (A+B+C2)	4.71	3.47	3.08	2.98	2.47	2.26
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						
As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	7073130	5211870	4618720	4464500	3712341	3390340
Reason for not providing PAN						
Reason for not providing PAN						
Shareholder type						

Any Other (specify)	
Serial No.	
Category	Click here to go back
Name of the Shareholders (I)	
PAN (II)	Total
No. of the Shareholders (I)	6
No. of fully paid up equity shares held (IV)	28470901
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	28470901
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	18.98
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	28470901
Class eg: y	
Total	28470901
Total as a % of Total Voting rights	18.98
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share	18.98

capital) (XI)= (VII) +(X) As a % of (A+B+C2)	
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of Shares pledged or otherwise encumbered (XIII)	
No. (a)	
As a % of total Shares held (b)	
Number of equity shares held in dematerialized form (XIV)	28470901
Reason for not providing PAN	
Reason for not providing PAN	
Shareholder type	

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.				
Serial No.	1	2	3	
Name of the Shareholders (I)	RANVEER SINGH CHHABRA	HARJEET KAUR CHHABRA	RAM KUMAR AGGARWAL	Click here to go back
PAN (II)	AENPC3827J	AENPC3830P	ADRP7342P	Total
No. of fully paid up equity shares held (IV)	4200000	2400000	2000000	8600000
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	4200000	2400000	2000000	8600000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.8	1.6	1.33	5.73
Number of Voting Rights held in each class of securities (IX)				
Class eg. X	4200000	2400000	2000000	8600000
Class eg.y				
Total	4200000	2400000	2000000	8600000
Total as a % of Total Voting rights	2.8	1.6	1.33	5.73
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	2.8	1.6	1.33	5.73
Number of Locked in shares (XII)				

No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	4200000	2400000	2000000	8600000
Reason for not providing PAN				
Reason for not providing PAN				

NBFCs registered with RBI		
Serial No.	1	
Name of the Shareholders (I)	MANI MARKETING & HOLDINGS PVT LTD	Click here to go back
PAN (II)	AABCM7440C	Total
No. of fully paid up equity shares held (IV)	37482749	37482749
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	37482749	37482749
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	24.98	24.98
Number of Voting Rights held in each class of securities (IX)		
Class eg. X	37482749	37482749
Class eg.y		
Total	37482749	37482749
Total as a % of Total Voting rights	24.98	24.98
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	24.98	24.98
Number of Locked in shares (XII)		

No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	37482749	37482749
Reason for not providing PAN		
Reason for not providing PAN		

Any Other (specify)			
Serial No.	1	2	
Category	Bodies Corporate	Bodies Corporate	
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	
Name of the Shareholders (I)	ZAWAR SALES LTD	KARVA AUTOMAR LTD	Click here to go back
PAN (II)	AAACZ0593N	AABCK9553C	Total
No. of the Shareholders (I)	1	1	0
No. of fully paid up equity shares held (IV)	6706000	37454526	0
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	6706000	37454526	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	4.47	24.97	0
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	6706000	37454526	0
Class eg:y			
Total	6706000	37454526	0
Total as a % of Total Voting rights	4.47	24.97	0
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a			

percentage of diluted share capital) (XI)= (VII) + (X) As a % of (A+B+C2)	4.47	24.97	0
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	6706000	37454526	0
Reason for not providing PAN			
Reason for not providing PAN			

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect* Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence