

General information about company	
Scrip code	539669
NSE Symbol	
MSEI Symbol	
ISIN	INE684D01025
Name of the entity	RGF CAPITAL MARKETS LIMITED
Date of start of financial year	01-04-2023
Date of end of financial year	31-03-2024
Reporting Quarter	Quarterly
Date of Report	30-06-2023
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of matters on composition of board of directors explanatory		Whether Chairperson is related to MD or CEO																				
Whether the listed entity has a Regular Chairperson		Yes	Disqualification of Directors under section 164 of the Companies Act, 2013																			
Sl. No.	Title (Mr / Ms / M)	Name of the Director	PAN	DIR	Category 1 of fitness of Director	Category 2 of fitness of Director	Category 3 of Director	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special provisions provided by Listing Regulations	Date of appointment	Date of cessation (in months)	No. of Directorship to listed entities including its listed entity (Under Regulation 17A of Listing Regulations)	No. of Independent Directorship to listed entities including its listed entity (Under Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit Committee (including its listed entity) (Under Regulation 20(1) of Listing Regulations)	No. of post of Chairperson in AMFI/ Subordinate Committee held in listed entities including its listed entity (Under Regulation 20(1) of Listing Regulations)	Notes for not providing DIN	
																						Yes
1	Mr.	SAGARJAL NARANA	ADEP8348Q	03/03/11	Executive Director	Chairperson	ME	06/06/1998	No				Active	NA	30-09-2015	02-05-2019	2	0	0	0		
2	Ms	SHREYAS KUMAR PUNDA	AUDPP359C	08/11/19	Non-Executive - Not Independent Director	Not Applicable		26/09/1975	No				Active	No	15-12-2014		102	0	2	2		
3	Ms	SHREYAS KUMAR BEDI	ADEPB181W	02/10/19	Non-Executive - Independent Director	Not Applicable		07/02/1979	No				Active	No	29-09-2014		105	2	2	2		
4	Ms	MAHESH JOSHI	CCU78504K	08/02/19	Non-Executive - Independent Director	Not Applicable		25/01/1987	No				Active	No	16-05-2021		23	2	2	2		

I. Composition of Board of Directors

Disclosure of inter-relationship of board of directors explanatory.

Whether the listed entity has a Regular Chairperson

Sl. No.	Name of Director	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? (Under Reg. 17(4A) of Listing Regulations)	Date of passing special resolution	Initial Date of appointment	Days of No. of appointment	Day of cessation	Term of director (in months)	No. of Dismissal in listed entities including the listed entity (Under Regulation 17(4) of Listing Regulations)	No. of Independent Directorship in listed entities including the listed entity (Under Regulation 17(4B) of Listing Regulations)	No. of members in Audit, Statutory/Committee(s) including the listed entity (Under Regulation 20(1) of Listing Regulations)	No. of Chairperson in Shareholder Committee held in listed entities including the listed entity (Under Regulation 20(1) of Listing Regulations)	Notes for not providing DIN.
5	Mr AJAY PRATAP SINGH	0687486	Non-Executive - Independent Director	Not Applicable		01-07-2018				Active	No	18-06-2021	23	2		2	2	2			
6	Ms RISHI KANT TIWARI	0029578	Non-Executive - Independent Director	Not Applicable		26-01-1985				Active	No	28-07-2021	24	2		6	2	2			

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02738193	SANDIP KUMAR BEJ	Non-Executive - Independent Director	Chairperson	29-09-2014		
2	06873319	SUJIT KUMAR PANDA	Non-Executive - Non Independent Director	Member	15-12-2014		
3	08852633	MALTI JAISWAL	Non-Executive - Independent Director	Member	26-03-2021		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02738193	SANDIP KUMAR BEJ	Non-Executive - Independent Director	Chairperson	29-09-2014		
2	06873319	SUJIT KUMAR PANDA	Non-Executive - Non Independent Director	Member	15-12-2014		
3	08852633	MALTI JAISWAL	Non-Executive - Independent Director	Member	26-03-2021		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02738193	SANDIP KUMAR BEJ	Non-Executive - Independent Director	Member	29-09-2014		
2	06873319	SUJIT KUMAR PANDA	Non-Executive - Non Independent Director	Chairperson	15-12-2014		
3	08852633	MALTI JAISWAL	Non-Executive - Independent Director	Member	26-03-2021		

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Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure 1								
Annexure 1								
III. Meeting of Board of Directors								
Disclosure of notes on meeting of board of directors explanatory								
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	14-02-2023				Yes	6	6	3
2		27-05-2023	101		Yes	6	6	3

Annexure 1										
IV. Meeting of Committees										
Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	14-02-2023				Yes	6	6	3	0
2	Audit Committee	27-05-2023	101			Yes	6	6	3	0
3	Nomination and remuneration committee	27-05-2023				Yes	6	6	3	0
4	Stakeholders Relationship Committee	27-05-2023				Yes	6	6	3	0

Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	
Disclosure of notes on related party transactions			

Annexure 1		
VI. Affirmations		
Sr.	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	SHRADHA HANDA
2	Designation	Company Secretary and Compliance Officer

Signatory Details	
Name of signatory	SHRADHA HANDA
Designation of person	Company Secretary and Compliance Officer
Place	kolkata
Date	18-07-2023