

RGF CAPITAL MARKETS LIMITED

Regd. Office: 14, N.S. ROAD, 2ND FLOOR, KOLKATA - 700001

CIN: L67120WB1983PLC036113

Phone: 033 - 40055190

Email: rgfcapital@gmail.com, Website: www.rgfcapitalmarkets.com

Dated: 21.10.2022

To,
The Company Secretary & Listing Department,
The Calcutta Stock Exchange Limited,
7, Lyons Range,
Kolkata - 700001

Sub: Quarterly Report on Corporate Governance for Quarter Ending 30.09.2022

Ref: Scrip Code: 028155

Dear Sir,

We enclose herewith the compliance status report for the quarter ended 30th September, 2022 in the format prescribed, for your information and record.

Kindly acknowledge the receipt.

Thanking You,

Yours Truly,

For RGF Capital Markets Limited
For RGF CAPITAL MARKETS LTD.

Director



(Sandip Kumar Bej)
Din02738193

General information about company	
Scrip code	539669
NSE Symbol	
MSEI Symbol	
ISIN	INE684D01025
Name of the entity	RGF CAPITAL MARKETS LIMITED
Date of start of financial year	01-04-2022
Date of end of financial year	31-03-2023
Reporting Quarter	Half Yearly
Date of Report	30-09-2022
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory		Disqualification of Directors under section 164 of the Companies Act, 2013																							
Whether the listed entity has a Regular Chairperson		Whether Chairperson related to Promoter																							
Yes		Yes																							
Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? (Refer Reg. 17(A) of Listing Regulations)	Date of passing special resolution	Initial Date of appointment	Date of Res. appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	No of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for PAN not providing	Notes for DIN not providing	
Mr	SAGARNAL NAIHATA	ABEPN9248G	00307611	Executive Director	Chairperson	MD	09-09-1949	No				Active	NA	30-09-2015	02-05-2019			2	0	0	0				
Mr	SUJIT KUMAR PANDA	ALBKP2850C	06873319	Non-Executive - Independent Director	Not Applicable		26-09-1975	No				Active	No	15-12-2014				2	0	2	2				
Mr	SANOJP KUMAR BEJ	ABEFB1819R	02758193	Non-Executive - Independent Director	Not Applicable		07-02-1973	No				Active	No	29-09-2014				96	2	2	2				
Mrs	MALTI JAISWAL	CCUPS043K	08852633	Non-Executive - Independent Director	Not Applicable		25-01-1987	No				Active	No	26-03-2021				18	2	2	2				

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? (Refer Reg. 17(1A) of Listing Regulations)	Date of passing special resolution	Initial Date of appointment	Date of appointment	Term of directorship (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity (Refer Regulation 17A(1) of Listing Regulations)	No of independent memberships in Audit, Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit, Stakeholder Committee(s) held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Notes for not providing PAN	Notes for not providing DIN
Mr	AJAY PRATAP SINGH	BROXP4343C	06873486	Non-Executive - Independent Director	Not Applicable		07-07-1973	No				Active	No		18-06-2021		16	2	2	2	3		
Ms	RISHI KANT TIWARI	AHPT3731E	6802578	Non-Executive - Independent Director	Not Applicable		26-01-1985	No				Active	No		20-07-2021		19	2	0	2	2		

Audit Committee Details							
Sr	DIN Number	Name of Committee members	Whether the Audit Committee has a Regular Chairperson		Date of Appointment	Date of Cessation	Remarks
			Category 1 of directors	Category 2 of directors			
1	02738193	SANDIP KUMAR BEJ	Non-Executive - Independent Director	Chairperson	29-09-2014		
2	06873319	SUJIT KUMAR PANDA	Non-Executive - Non Independent Director	Member	15-12-2014		
3	08852633	MALTI JAISWAL	Non-Executive - Independent Director	Member	26-03-2021		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02738193	SANDIP KUMAR BEJ	Non-Executive - Independent Director	Chairperson	29-09-2014		
2	06873319	SUJIT KUMAR PANDA	Non-Executive - Non Independent Director	Member	15-12-2014		
3	08852633	MALTI JAISWAL	Non-Executive - Independent Director	Member	26-03-2021		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02738193	SANDIP KUMAR BEJ	Non-Executive - Independent Director	Member	29-09-2014		
2	06873319	SUJIT KUMAR PANDA	Non-Executive - Non Independent Director	Chairperson	15-12-2014		
3	08852633	MALTI JAISWAL	Non-Executive - Independent Director	Member	26-03-2021		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks.

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson							
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks

Annexure I								
Annexure I								
III. Meeting of Board of Directors								
Disclosure of notes on meeting of board of directors explanatory								
Sr	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	31-05-2022				Yes	6	6	3
2		10-08-2022	70		Yes	6	6	3

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory										
Sr.	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors (All directors including Independent director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	30-05-2022				Yes	6	6	3	0
2	Audit Committee	10-08-2022	71			Yes	6	6	3	0
3	Nomination and remuneration committee	10-08-2022				Yes	6	6	3	0
4	Stakeholders Relationship Committee	10-08-2022				Yes	6	6	3	0

Annexure 1			
V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Shradha Handa
2	Designation	Company Secretary and Compliance Officer

Annexure III			
III. Affirmations			
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA) If status is "No" details of non-compliance may be given here.
1	Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes
2	Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes
3	Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes
4	Presence of Chairperson of the Stakeholder Relationship committee at the annual general meeting	20(3)	Yes
5	Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes
Any other information to be provided			

Annexure III		
1	Name of signatory	Shradha Handa
2	Designation	Company Secretary and Compliance Officer

Additional Half yearly Disclosure	
Applicability of disclosure	Not Applicable
Reason for Non Applicability	Textual Information(1)

Signatory Details	
Name of signatory	Shradha Handa
Designation of person	Company Secretary and Compliance Officer
Place	kolkata
Date	14-10-2022