

# RGF CAPITAL MARKETS LIMITED

Regd. Office: 14, N.S. ROAD, 2<sup>ND</sup> FLOOR, KOLKATA - 700001

CIN: L67120WB1983PLC036113

Phone:033-40055190

Email:rgfcapital@gmail.com, Website: [www.rgfcapitalmarkets.com](http://www.rgfcapitalmarkets.com)

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Dated: 20.01.2023

To,  
The Company Secretary & Listing Department,  
The Calcutta Stock Exchange Limited,  
7, Lyons Range,  
Kolkata - 700001

**Sub: Quarterly Report on Corporate Governance  
for Quarter Ending 31.12.2022**

Dear Sir,

We enclose herewith the compliance status report for the quarter ended 31<sup>st</sup> December, 2022 in the format prescribed, for your information and record.

Kindly acknowledge the receipt.

Thanking You,

Yours Truly,  
For RGF Capital Markets Limited



Director

Sandip Kumar Bej  
(Director)  
Din: 02738193

<b>General information about company</b>	
Scrip code	539669
NSE Symbol	
MSEI Symbol	
ISIN	INE684D01025
Name of the entity	RGF CAPITAL MARKETS LIMITED
Date of start of financial year	01-04-2022
Date of end of financial year	31-03-2023
Reporting Quarter	Quarterly
Date of Report	31-12-2022
Risk management committee	Not Applicable
Market Capitalisation as per immediate previous Financial Year	Any other

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors, explanatory		Disqualification of Directors under section 164 of the Companies Act, 2013																					
Whether the listed entity has a Regular Chairperson		Whether Chairperson is related to MD or CEO																					
Yes		Yes																					
Sl. No.	Name of the Director (Mr / Ms)	RUN	IDN	Category 1 of director	Category 2 of director	Category 3 of director	Disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed (Under Reg 17(1A) of Listing Regulation)	Date of special resolution	Period of appointment	Date of re-appointment	Term of director (in months)	No. of Director is listed	No. of Independent Director including the listed entity (Under Regulation 17A of Listing Regulation)	No. of Independent Director including the listed entity (Under Regulation 26(1) of Listing Regulation)	Number of memberships in Anybody Committee(s) including the listed entity (Under Regulation 26(1) of Listing Regulation)	No. of person of Chairperson in Anybody Committee(s) including the listed entity (Under Regulation 26(1) of Listing Regulation)	Notes for not providing DIN	
1	Mr SGAJRAMAL NANIYA	AREPNS4HG	0000911	Executive Director	Chairperson	MD	06.06.1968	No			Active	NA	30-09-2015	02-06-2019			2	0	0	0	0		
2	Ms. SURESH KUMAR PANDYA	ARLPP362C	0037119	Non-Executive - Independent Director	Not Applicable		26.06.1975	No			Active	No	11-12-2014			06	2	0	2	2			
3	Ms. RANJITA KISHOR BEDI	AREPBI19K	0273111	Non-Executive - Independent Director	Not Applicable		07.02.1977	No			Active	No	29-09-2014			09	2	2	2	2			
4	Ms. MALTI JAINWAL	CCUP3049K	0032523	Non-Executive - Independent Director	Not Applicable		27.01.1987	No			Active	No	16-01-2021			21	2	2	2	2			

**L. Composition of Board of Directors**

Disclosure of notes on composition of board of directors explanatory

Whether the listed entity has a Regular Chairperson

Sl. No.	Name of the Director	PAN	DIN	Category 1 of director	Category 2 of director	Category 3 of director	Date of birth	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status	Whether special resolution passed? (Refer Reg. 17(A) of Listing Regulations)	Date of posting special resolution	Initial Date of appointment	Date of Re-appointment	Date of director's creation (in months)	No. of Directorship in listed entities including this listed entity (Refer Regulation 17(A) of Listing Regulations)	No. of Independent Directorship in listed entities including this listed entity (Refer Regulation 17(A) of Listing Regulations)	Number of memberships in other Listed Companies including this listed entity (Refer Regulation 20(1) of Listing Regulations)	No. of post of Chairperson in Audit Sub-committee/Carve-out entities held in listed entities including this listed entity (Refer Regulation 20(1) of Listing Regulations)	Notes for the listed entity providing BRS	Notes for the listed entity providing DIN
1	Ms. PRAJAPATI SINGH	BW205441C	067346	None - Executive - Independent Director	Not Applicable		01-07-1977	No				Active	No		14-06-2021		14	2	2	2			
2	Ms. KANE TRISHA	A4877731E	0005076	None - Executive - Independent Director	Not Applicable		26-01-1983	No				Active	No		20-07-2021		18	2	0	2			

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02738193	SANDIP KUMAR BEJ	Non-Executive - Independent Director	Chairperson	29-09-2014		
2	06873319	SUJIT KUMAR PANDA	Non-Executive - Non Independent Director	Member	15-12-2014		
3	08852633	MALTI JAISWAL	Non-Executive - Independent Director	Member	26-03-2021		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson:					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02738193	SANDIP KUMAR BEJ	Non-Executive - Independent Director	Chairperson	29-09-2014		
2	06873319	SUJIT KUMAR PANDA	Non-Executive - Non Independent Director	Member	15-12-2014		
3	08852633	MALTI JAISWAL	Non-Executive - Independent Director	Member	26-03-2021		



Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	02738193	SANDIP KUMAR BEJ	Non-Executive - Independent Director	Chairperson	29-09-2014		
2	06873319	SUJIT KUMAR PANDA	Non-Executive - Non Independent Director	Member	15-12-2014		
3	08852633	MALTI JAISWAL	Non-Executive - Independent Director	Member	26-03-2021		

## Annexure 1

## Annexure 1

## III. Meeting of Board of Directors

Disclosure of notes on meeting of board of directors explanatory								
Sl	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	10-08-2022				Yes	6	6	3
2		14-11-2022	95		Yes	6	6	3
3		06-12-2022	21		Yes	6	6	3



## Annexure I

## IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory										
Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	10-08-2022				Yes	6	6	3	0
2	Audit Committee	14-11-2022	95			Yes	6	6	3	0
3	Nomination and remuneration committee	14-11-2022				Yes	6	6	3	0
4	Stakeholders Relationship Committee	14-11-2022				Yes	6	6	3	0

## Annexure 1

V. Related Party Transactions			
Sr	Subject	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Whether prior approval of audit committee obtained	Yes	
2	Whether shareholder approval obtained for material RPT	NA	
3	Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	Yes	

Annexure 1		
VI. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	NA
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Shradha Handa
2	Designation	Company Secretary and Compliance Officer

<b>Signatory Details</b>	
Name of signatory	Shradha Handa
Designation of person	Company Secretary and Compliance Officer
Place	kolkata
Date	17-01-2023